

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet

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of

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**Complete if Known**

Application Number	09/716,847
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Filing Date	November 16, 2000
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First Named Inventor	Lilip Lau
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Group Art Unit	3738
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Examiner Name	S. Jackson
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Attorney Docket Number	ACS 56040
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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T*
		Yoshimura, Hitoshi, M.D., et al., <i>Afterloading Intracavitary Irradiation and Expanding Stent for Malignant Biliary Obstruction</i> , <u>Radiation Medicine</u> , vol. 7, No. 1, pp. 36-41, 1989	
		Yoshioka, Tetsuya, M.D., et al., <i>Expandable Metallic Biliary Endoprostheses: Preliminary Clinical Evaluation</i> , <u>Radiology</u> , Vol. 177, No. 1, pp. 253-257, 1990	

Examiner  
Signature

S. J. Galt

Date  
Considered

5.15-02

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. ACS 56040

SERIAL NO. 09/561,098

APPLICANT Lau et al.

FILING DATE 11/16/00

GROUP 3731

#5

INFORMATION DISCLOSURE CITATION  
(Use Several Sheets if Necessary)

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B	2,701,559	02/1955	Cooper			
	3,105,492	10/01/63	Jeckel			
	3,657,744	04/25/72	Ersek			
	3,868,956	03/04/75	Alfidi et al.			
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	4,140,126	02/20/79	Choudhyry			
	4,159,719	07/30/79	Haerr			
	4,323,071	04/06/82	Simpson et al.			

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EP 0 380 668	10/07/88	European Patent Office			

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	Dotter, Charles T., <i>Transluminally Placed Coilspring Endarterial Tube Grafts</i> , <i>Investigative Radiology</i> , pp. 329-332, September/October 1969
	Rösch, J., M.D., et al., <i>Transjugular Intrahepatic Portacaval Shunt: An Experimental Work</i> , <i>The American Journal of Surgery</i> , pp. 588-592, Volume 121, May 1971
✓	Dotter, Charles T., <i>Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report</i> , <i>Radiology Journal</i> , pp. 259-260, April 1983
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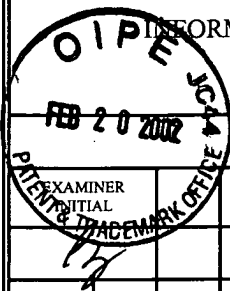
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	4,503,569	03/12/85	Dotter			
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	4,560,374	12/24/85	Hammerslag			
	4,580,568	04/08/86	Gianturco			

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	4,616,652	10/14/86	Simpson			
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	4,649,922	03/17/87	Wiktor			
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	4,655,771	04/07/87	Wallsten			
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	4,681,110	07/21/87	Wiktor			
	4,706,671	11/17/87	Weinrib			
	4,733,665	03/29/88	Palmaz			

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	Wallace, Michael J., et al., <i>Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications (Work in Progress)</i> , <u>Radiology</u> , pp. 309-312, Volume 158, February 1986
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	4,762,128	08/09/88	Rosenbluth			
	4,767,418	03/08/88	Deininger			
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	4,795,458	01/03/89	Regan			

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					YES	NO
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	Duprat, et al., <i>Flexible Balloon-Expanded Stent for Small Vessels</i> , <i>Radiology Journal</i> , pp. 276-278, 1987
	Rösch, Josef, M.D., et al., <i>Experimental Intrahepatic Portacaval Anastomosis: Use of Expandable Gianturco Stents</i> , <i>Radiology</i> , pp. 481-485, Volume 162, February 1987
	Rösch, Josef, M.D., et al., <i>Gianturco Expandable Stents in Experimental and Clinical Use</i> , paper presented at The Twelfth Annual Course on "Diagnostic Angiography and Interventional Radiology" March 23-26, 1987 (Pittsburgh, Pennsylvania)

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	4,848,343	07/18/89	Wallsten et al.			
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	4,870,966	10/03/89	Dellon et al.			
	4,877,030	10/31/89	Beck et al.			
	4,878,906	11/07/89	Lindemann et al.			
	4,886,062	12/12/89	Wiktor			
	4,887,997	12/19/89	Okada			

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					YES	NO
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EP 0 062 300	10/31/92	European Patent Office				
EP 0 540 290 A2	10/27/92	European Patent Office				
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	Lawrence, David D., Jr., M.D., et al., <i>Percutaneous Endovascular Graft: Experimental Evaluation</i> , <i>Radiology</i> , pp. 357-360, Volume 163, May 1987
	Rösch, Josef, M.D., et al., <i>Gianturco Expandable Wire Stents in the Treatment of Superior Vena Cava Syndrome Recurring After Maximum-Tolerance Radiation</i> , <i>Cancer</i> , pp. 1243-1246, Volume 60, September 1987
	Bonzel, T., et al., <i>The Sliding Rail System (Monorail): Description of a New Technique for Intravascular Instrumentation and its Application to Coronary Angioplasty</i> , <i>Kardiologie</i> , Supplement 6, pp. 119-122 (1987)
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8-11	4,892,539	01/09/90	Koch			
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	4,921,479	05/01/90	Grayzel			
	4,922,905	05/08/90	Strecker			
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	4,943,346	07/24/90	Mattelin			
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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	TRANSLATION
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	Yoshioka, et al. "Self Expanding Endovascular Graft: An Experimental Study in Dogs," <u>American Journal of Roentgeriology</u> , 1988, Vol. 151, pp.673-76.
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	62-231657	10/12/87	Japan				
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	63-246178	10/13/88	Japan				
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	Kaltenbach, M., Prof. Dr., Abstracts, <i>Zeitschrift für Kardiologie</i> , April 3, 1991 (German only)
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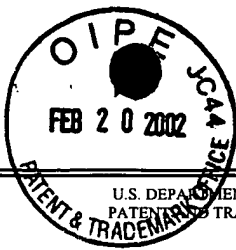
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	5,071,407	12/10/91	Termin et al.			
	5,073,694	12/17/91	Tessier et al.			
	5,078,720	01/07/92	Kreamer			
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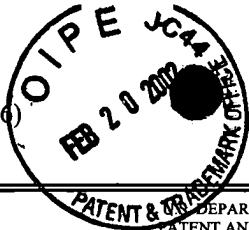
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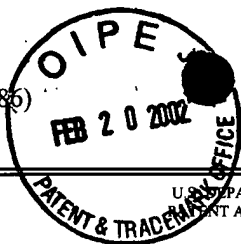
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				APPLICANT <b>Lau et al.</b>					
				FILING DATE <b>11/16/00</b>		GROUP <b>3731</b>			
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B3		5,089,005	02/18/92	Harada	<del>           RECEIVED            FEB 28 2002            3100 MAIN ROOM         </del>				
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		5,104,404	04/14/92	Wolff					
		5,108,416	04/28/92	Ryan et al.					
		5,108,417	04/28/92	Sawyer					
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FILING DATE 11/16/00

GROUP 3731

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
B	5,116,360	05/26/92	Pinchuk et al.			
	5,116,365	05/26/92	Hillstead			
	5,122,154	06/16/92	Rhodes			
	5,123,917	06/23/92	Lee			
	5,133,732	07/28/92	Wiktor			
	5,135,536	08/04/92	Hillstead			
	5,158,548	10/27/92	Lau et al.			
	5,161,547	11/10/92	Tower			
B	5,163,951	11/17/92	Froix			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO

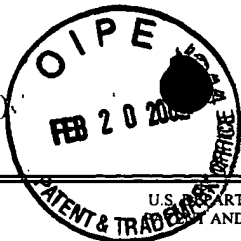
**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


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Bz	5,163,952	11/17/92	Froix			
	5,163,958	11/17/92	Pinchuk			
	5,171,262	12/15/92	MacGregor			
	5,180,368	01/19/93	Garrison			
	5,183,085	02/02/93	Timmermans			
	5,192,297	03/09/93	Hull			
	5,192,307	03/09/93	Wall			
	5,192,311	03/09/93	King et al.			
	5,195,984	03/09/93	Schatz			
Bz	5,197,978	03/30/93	Hess			

## FOREIGN PATENT DOCUMENTS

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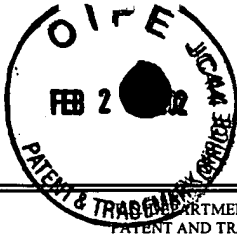
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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				APPLICANT <b>Lau et al.</b>			
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
<i>Bz</i> ↓ <i>Bz</i>		5,217,482	06/08/93	Keith			
		5,222,971	06/29/93	Willard et al.			
		5,226,913	07/13/93	Pinchuk			
		5,234,456	08/10/93	Silvestrini			
		5,242,394	09/93	Barath et al.			
		5,242,399	09/07/93	Lau et al.			
		5,242,452	09/07/93	Inoue			
		5,282,823	02/01/94	Schwartz et al.			
		5,282,824	02/01/94	Gianturco			

FOREIGN PATENT DOCUMENTS								
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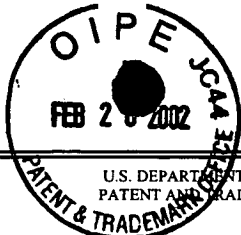
  

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

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Bg	5,290,295	03/01/94	Querals et al.			
	5,290,305	03/01/94	Gianturco			
	5,292,331	3/04/94	Boneau			
	5,304,200	04/19/94	Spaulding			
	5,314,444	05/24/94	Gianturco			
	5,314,472	05/24/94	Fontaine			
	5,330,500	07/19/94	Song			
	5,344,426	09/06/94	Lau et al.			
	5,354,308	10/11/94	Simon et al.			
✓	5,356,433	10/94	Rowland et al.			
Bz	5,360,401	11/01/94	Turnlund			

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
B		5,368,566	11/29/94	Crocker	/	/	/
		5,372,600	12/13/94	Beyar et al.			
		5,378,239	01/03/95	Termin et al.			
		5,383,892	01/24/95	Cardon et al.			
		5,405,378	04/11/95	Strecker			
		5,421,955	06/06/95	Lau			
		5,423,745	06/1995	Todd et al.			
B		5,423,885	06/13/95	Williams	/	/	/
		5,445,646	08/29/95	Euteneuer et al.			

FOREIGN PATENT DOCUMENTS								
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							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

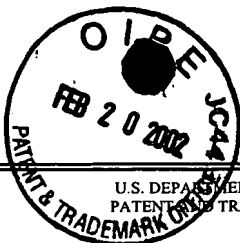
  

EXAMINER <i>S. Jack</i>	DATE CONSIDERED <b>5-15-02</b>
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
<i>BJ</i>	5,449,373	09/1995	Pinchasik			
	5,456,694	10/10/95	Marin et al.			
	5,458,615	10/17/95	Klemm et al.			
	5,476,476	12/19/95	Hillstead			
	5,484,449	01/16/96	Amundson et al.			
	5,507,768	04/16/96	Lau et al.			
	5,514,154	03/07/96	Lau et al.			
	5,545,132	08/1996	Fagan et al.			
	5,571,135	11/05/96	Fraser et al.			
	5,603,721	02/1997	Lau et al.			
	5,626,604	05/1997	Cottone et al.			

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPLICABLE
<i>[Handwritten signature]</i>	5,653,690	08/05/97	Booth et al.	103700 MAIL ROOM FEB 28 2002 RECEIVED		
	5,653,691	08/05/97	Rupp et al.			
	5,653,727	08/05/97	Wiktor			
	5,716,396	02/1998	Williams			
	5,720,726	02/1998	Marcadis et al.			
	5,733,303	03/1998	Israel			
	5,733,325	03/1998	Robinson			
	5,735,893	04/07/98	Lau et al.			
	5,782,855	07/21/98	Lau et al.			
	5,800,521	09/1998	Orth			
<i>[Handwritten signature]</i>	5,810,871	09/22/98	Tuckey et al.			

FOREIGN PATENT DOCUMENTS							
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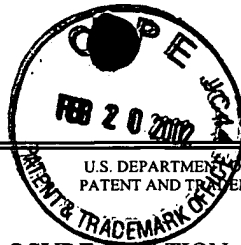
  

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B	5,817,152	10/1998	Birdsall			
	5,830,217	11/03/98	Ryan			
	5,836,965	11/17/98	Jendersee et al.			
	5,893,852	04/13/99	Morales			
	5,902,332	05/1999	Schatz			
	5,984,964	11/1999	Roberts et al.			
	5,997,468	12/1999	Wolff et al.			
	6,030,413	02/2000	Lazarus			
B	6,146,358	11/2000	Rowe			

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